

-1-

Docket No. 0126/1101.014

#3 DAC \$

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Faris, et al.

Serial No.: 09/893,163

Group Art Unit: 2871

Filed: June 27, 2001

Examiner: unknown

Atty. Docket No. 0126/1101.014

Title: Backlight for a Liquid Crystal Display Having High Light-Recycling Efficiency

AND

Corresponding PCT Patent Application, International Serial Number PCT/US01/20346, filed 27 June 2001, Atty. Docket No. 0126/1101.014WO.

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to: Assistant Commissioner of Patents, Box DAC, Washington, D.C. 20231, on September 25, 2001.

Richard L. Sampson
Attorney for Applicants
Reg. No. 37,231

Date of Signature: September 25, 2001

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OCT 02 2001

OFFICE OF PETITIONS

To: Assistant Commissioner For Patents
Box DAC
Washington, D.C. 20231

Petition To Accord New Filing Date Based On Deviation From Normal Business Practice under 37 C.F.R. 1.181

10/02/2001 SLUANG1 00000076 09893163

01 FC:122

130.00 0P

Dear Sir:

This petition is responsive to the Official Filing Receipt mailed on August 20, 2001 in the above-referenced U.S. Patent Application, and to an Invitation to Correct Priority Claim in the above-referenced PCT Application.

A credit card form in the amount of \$130.00 to cover the Petition Fee is enclosed. Any deficiencies or overpayments may be charged or credited to Deposit Account No. 50-0734.

Both of the above-referenced Applications have been accorded a filing date of June 27, 2001. The undersigned representative, however, prepared Express Mail packages containing the application materials, including Certificates of Express Mailing, on June 26, 2001, in order to claim the benefit of U.S. Provisional Application Ser. No. 60/214,107, which was filed on June 26, 2000. Copies of the Certificate of Express Mailing in the U.S. application, and the Transmittal to the U.S. Receiving Office bearing a Certificate of Express Mailing in the PCT application, are enclosed herewith as Exhibits A and B.

The undersigned states that it is our normal business practice to physically hand Express Mail packages to a representative of the U.S. Postal Service in order to obtain a filing date of that day. This is accomplished by either hand delivering the Express Mail package to Boston's Post Office Square Post Office located across the street from the office of the undersigned, or, if after 5pm, by taking an approximately 10 minute walk to Boston's South Station Post Office which remains open until midnight. Submitted herewith are copies of Express Mail Mailing Certificates or labels and accompanying Official Filing Receipts (Exhibits C-H) for other applications filed by the undersigned, in which same-day filing dates were obtained in order to successfully make priority claims. Please consider these copies as evidence of the above-described normal business practice of the undersigned.

On June 26, 2001, the two Express Mail packages containing the respective applications at issue, were completed at approximately 6pm, after the close of business of the nearby Post Office Square Post Office. Ms. Amy J. Berry, who at the time was employed as administrative assistant to the undersigned (and whose name and signature appears on the Certificates of Express Mailing), apparently deviated from her instructions as to this normal practice, and instead of walking to the South Station Post Office, deposited the package in a nearby Express Mail drop box after the last scheduled pickup. In the days and weeks since, a number of other events involving Ms. Berry have come to light, which necessitated the termination of her employment.

In light of this erroneous deviation from our normal business practices, the undersigned respectfully requests that the above-referenced applications be accorded the filing date of June 26, 2001, which is the date on which the Express Mail packages were completed, the date on which Ms. Berry was instructed to hand deliver them to the U.S. Post Office, and the date on which they appear to have been erroneously deposited in an Express Mail drop box.

Respectfully submitted,



Richard L. Sampson
Attorney for Applicants
Registration No. 37,231

Dated: September 25, 2001

SAMPSON & ASSOCIATES, P.C.
50 Congress Street
Suite 519
Boston, MA 02109
Telephone: (617) 557-2900
Facsimile: (617) 557-0077

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OFFICE OF PETITIONS

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)

Applicant(s): Faris, et al.

Docket No.

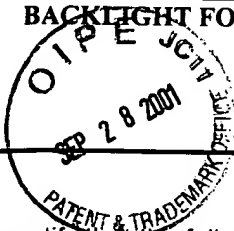
0126/1101.014

Serial No.

Filing Date
June 26, 2001

Examiner

Group Art Unit

Invention: **BACKLIGHT FOR A LIQUID CRYSTAL DISPLAY HAVING HIGH LIGHT-RECYCLING EFFICIENCY**

I hereby certify that the following correspondence:

Enclosed: New U.S. Patent Application including drawings (6 sheets), Patent Application Transmittal Letter, Declaration and Power of Attorney (unsigned), Transmittal of Information Disclosure Statement, Information Disclosure Citation, Express Mail Certificate bearing label number EF357710345US, Credit Card Payment Form and Acknowledgment Postcard.

(Identify type of correspondence)

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on

June 26, 2001*(Date)*

EF 357710345 US

Amy J. Berry*(Typed or Printed Name of Person Mailing Correspondence)**(Signature of Person Mailing Correspondence)*EF357710345US*("Express Mail" Mailing Label Number)*

Note: Each paper must have its own certificate of mailing.

EXHIBIT A**RECEIVED**

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OFFICE OF PETITIONS

**TRANSMITTAL LETTER TO THE
UNITED STATES RECEIVING OFFICE**

Date	26 June 2001
International Application No.	
Attorney Docket No.	0126/1101.014WO

I. Certification under 37 CFR 1.10 (if applicable)

EF357710323US
Express Mail mailing number

26 June 2001
Date of Deposit

I hereby certify that the application/correspondence attached hereto is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Assistant Commissioner for Patents, Washington, D.C. 20231.


Signature of person mailing correspondence

Amy J. Berry
Typed or printed name of person mailing correspondence

II. ☒ New International Application

TITLE	BACKLIGHT FOR A LIQUID CRYSTAL DISPLAY HAVING HIGH LIGHT-RECYCLING EFFICIENCY.
-------	--

Earliest priority date (Day/Month/Year)
26 June 2000

SCREENING DISCLOSURE INFORMATION: In order to assist in screening the accompanying international application for purposes of determining whether a license for foreign transmittal should and could be granted and for other purposes, the following information is supplied. (Note: check as many boxes as apply):

- A. ☐ The invention disclosed was not made in the United States.
- B. ☐ There is no prior U.S. application relating to this invention.
- C. ☒ The following prior U.S. application(s) contain subject matter which is related to the invention disclosed in the attached international application. (NOTE: priority to these applications may or may not be claimed on form PCT/RO/101 (Request) and this listing does not constitute a claim for priority).

application no.	60/214,107	filed on	26 June 2000
application no.		filed on	

- D. ☐ The present international application ☐ is identical ☐ contains less subject matter than that found in the prior U.S. application(s) identified in paragraph C.
- E. ☐ The present international application ☐ contains additional subject matter not found in the prior U.S. application(s) identified in paragraph C. above. The additional subject matter is found on pages ☐ and ☐ DOES NOT ALTER ☐ MIGHT BE CONSIDERED TO ALTER the general nature of the invention in a manner which would require the U.S. application to have been made available for inspection by the appropriate defense agencies under 35 U.S.C. 181 and 37 CFR 5.1. See 37 CFR 5.15

III. ☐ A Response to an Invitation from the RO/US. The following document(s) is (are) enclosed:

- A. ☐ A Request for An Extension of Time to File a Response
- B. ☐ A Power of Attorney (General or Regular)
- C. ☐ Replacement pages:

pages		of the request (PCT/RO/101)	pages		of the figures
pages		of the description	pages		of the abstract
pages		of the claims			

- D. ☐ Submission of Priority Documents

Priority document		Priority document	
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- E. ☐ Fees as specified on attached Fee Calculation sheet form PCT/RO/101 annex

IV. ☐ A Request for Rectification under PCT 91 ☐ A Petition ☐ A Sequence Listing Diskette

V. ☒ Other (please specify): New PCT Application; PCT Request Form; Transmittal Letter to the U.S. Receiving Office and Acknowledgement Postcard.

The person signing this form is the:

<input type="checkbox"/> Applicant	Richard L. Sampson
<input checked="" type="checkbox"/> Attorney/Agent (Reg. No. 37,231)	Typed name of signer
<input type="checkbox"/> Common Representative	Signature

EXHIBIT B



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/627,017	07/27/2000	2761	1380	99,044/1105.021	14	54	4

FILING RECEIPT



OC000000005411222

Richard L Sampson
Sampson & Associates PC
Suite 519
50 Congress Street
Boston, MA 02109

Date Mailed: 09/19/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Marks, Residence Not Provided;

Continuing Data as Claimed by Applicant

THIS APPLN CLAIMS BENEFIT OF 60/145,825 07/27/1999
AND CLAIMS BENEFIT OF 60/145,528 07/26/1999 *
AND CLAIMS BENEFIT OF 60/145,271 07/26/1999 *
AND CLAIMS BENEFIT OF 60/185,806 02/29/2000
AND CLAIMS BENEFIT OF 60/183,138 02/17/2000
(* Data inconsistent with PTO records.

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Foreign Applications

If Required, Foreign Filing License Granted 09/19/2000

Title

Shared shopping basket management system

Preliminary Class

705

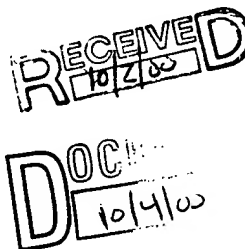


EXHIBIT C

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Marks

Title: SHARED SHOPPING BASKET MANAGEMENT SYSTEM

Attorney Docket No.: 1105.021

Filing Date: July 27, 2000

The Assistant Commissioner of Patents
Washington, D.C. 20231

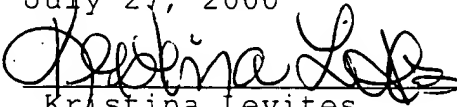
50115EET125K3

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail in an envelope bearing Express Mail Label Number EK571133511US addressed to: The Assistant Commissioner of Patents, Washington, D.C. 20231

ON: July 27, 2000

By:


Kristina Levites

Date of Signature: 7/27/00

Enclosures:

1. Patent Application;
2. Patent Application Transmittal Letter;
3. Drawings (14 sheets);
4. Executed Declaration and Power of Attorney
5. Transmittal of Information Disclosure Statement;
6. Information Disclosure Citation;
7. Check in the amount of \$1,380.00 for Filing Fee; and
10. Acknowledgment Postcard.

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EXHIBIT D



UNITED STATES PATENT AND TRADEMARK OFFICE

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UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/835,272	04/13/2001	2858	920	99,314/1105.023	7	20	4

CONFIRMATION NO. 4608

UPDATED FILING RECEIPT



OC000000006404998

D 8/14/01

Richard L. Sampson
SAMPSON & ASSOCIATES, P.O.C.
50 Congress Street
Boston, MA 02109

Date Mailed: 08/09/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

Applicant(s)

Barry E. Martin, Cleavland, TN;
Stephen Tymoszuk, Knoxville, TN;
Benjamin N. Lease, Knoxville, TN;

Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/197,195 04/14/2000

Foreign Applications

If Required, Foreign Filing License Granted 06/07/2001

Projected Publication Date: 11/15/2001

Non-Publication Request: No

Early Publication Request: No

Title

Capacitance level measurement circuit and system

Preliminary Class

324

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EXHIBIT E

CERTIFICATE OF MAILING "EXPRESS MAIL" (37 CFR 1.10,

Applicant(s):

Docket No.

99,314/1105.023

Serial No.

Filing Date

4/13/01

Examiner

Group Art Unit

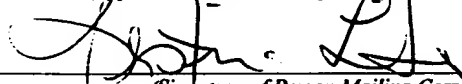
Invention: Capacitance Level Measurement Circuit and Probe

I hereby certify that the following correspondence:

New U.S. Patent Application; Patent Application transmittal Letter; Declaration and Power of Attorney (unsigned); Information Disclosure Citation (Form 1449) and cited references; Information Disclosure Statement; Certificate of Express Mailing ; and Acknowledgment Postcard

(Identify type of correspondence)

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231

4/13/01*(Date)***Kristina Levites***(Typed or Printed Name of Person Mailing Correspondence)**(Signature of Person Mailing Correspondence)***EF357681644US***("Express Mail" Mailing Label Number)*

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Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
09/441,244	11/16/99	2872	\$560.00	1120..004	4	40	3

STEVEN A CARLSON
SAMPSON & ASSOCIATES PC
50 CONGRESS STREET
SUITE 519
BOSTON MA 02109

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts of Application" ("Missing Parts Notice") in this application, please submit any corrections to this Filing Receipt with your reply to the "Missing Parts Notice." When the PTO processes the reply to the "Missing Parts Notice," the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s) **DEBRA J. TRANTOLO, PRINCETON, MA.**

CONTINUING DATA AS CLAIMED BY APPLICANT—
PROVISIONAL APPLICATION NO. 60/108,638 11/16/98

IF REQUIRED, FOREIGN FILING LICENSE GRANTED 12/27/99. ** SMALL ENTITY **
TITLE
BIOPOLYMER-BASED HOLOGRAPHIC OPTICAL ELEMENT

PRELIMINARY CLASS: 359

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EXHIBIT 6

DATA ENTRY BY: TYSON, LASHONNAH

TEAM: 04 DATE: 12/27/99



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PO ZIP Code	1111	<input checked="" type="checkbox"/> Next	<input type="checkbox"/> Second	<input type="checkbox"/>
Date In	11/19/99			Postage \$
Mo.	11	<input checked="" type="checkbox"/> 12 Noon	<input type="checkbox"/> 3 PM	
Time In	11:18 AM	Military		Return Receipt Fee
Weight	1.5 lbs.	<input type="checkbox"/> 2nd Day	<input type="checkbox"/> 3rd Day	
	PM	Int'l Alpha Country Code		COD Fee
		Acceptance Clerk Initials		Insurance Fee
No Delivery	<input type="checkbox"/>			Total Postage & Fees \$
Weekend	<input type="checkbox"/>			
U.S. Day	<input type="checkbox"/>			

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Federal Agency Acct. No. or
Postal Service Acct. No.

☐ **WAIVER OF SIGNATURE (Domestic Only)** Additional merchandise insurance is void if waiver of signature is requested. I wish delivery to be made without obtaining signature of addressee or addressee's agent (if delivery employee judges that article can be left in secure location) and I authorize that delivery employee's signature constitutes valid proof of delivery.

On delivery, ☐ **NO DELIVERY** ☐ **Weekend** ☐ **Holiday**

FROM: (PLEASE PRINT)

PHONE

TO: (PLEASE PRINT)

PHONE

Simpson + Assoc. PC
20 Fenwick Street
Boston, MA 02110

Assistant Commissioner
FBI
Benjamin App
Washington, DC 20535

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OFFICE OF PETITIONS

EXHIBIT H

FOR PICKUP OR TRACKING CALL 1-800-222-1811 www.usps.gov